

**Patent Application
Attorney Docket No. AWK02-004**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): **James Albert Fontana et al.**
Application No.: **Not Yet Assigned**
Filing Date: **9/25/2003**
Examiner: **Not Yet Assigned**
Art Unit:
Title: **System and Method For Maintaining
Target
Response Times In Business Methods.**

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Sir:

CERTIFICATE OF MAILING
under 37 CFR 1.8

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September 25, 2003

(Date of mailing)

Carol A. Wasserman

(Name of person signing certificate)



(Signature)

September 25, 2003

Date of Signature

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.97 and §1.98 and to the duty of disclosure set forth in 37 C.F.R. §1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO form 1449 (PTO/SB/08) submitted herewith. A copy of each of the listed references is also enclosed.

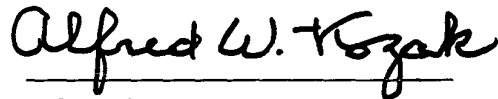
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

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Attorney Docket No. AWK02-004

This Information Disclosure Statement is being filed herewith for the above-referenced application. No fee or certification is required, per 37 C.F.R. §1.97.

Respectfully submitted,

A handwritten signature in black ink that reads "Alfred W. Kozak". The signature is written in a cursive style with a horizontal line underneath the name.

Alfred W. Kozak
Attorney or Agent for Applicant
Registration No. 24,265
(858) 451-4615 or
(949) 380-5822

September 25, 2003

Enclosures:
PTO Form 1449
Copy of (8) References

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Complete if Known

Application Number	Not Yet Assigned
Filing Date	9/25/2003
First Named Inventor	James Albert Fontana
Art Unit	
Examiner Name	
Attorney Docket Number	AWK02-004

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,812,996	09/22/1998	Rubin et al.	
		US- 5,822,749	10/13/1998	Agarwal	
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⁶

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Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	Not Yet Assigned
		Filing Date	9/25/2003
		First Named Inventor	James Albert Fontana
		Art Unit	
		Examiner Name	
(Use as many sheets as necessary)		Attorney Docket Number	AWK02-004
Sheet	2	of	2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	Robert E. Filman, Applying Aspect-Oriented Programming to Intelligent Synthesis, Workshop on Aspects and Dimensions of Concerns 14th European Conference on	
	1 cont.	Object-Oriented Programming, Cannes, France, June 2000	
	2	Ribler, R.L., H. Simitci, D.A. Reed, The Autopilot Performance-Directed Adaptive Control System, Future Generation Computer Systems, Special Issue of Performance Data Mining, Volume 18, Number 1, 2001, pp. 175-187	
	2 cont.		
	3	Daniel A. Reed, Christopher L. Elford, Tara M. Madhyastha, Evgenia Smirni, and Stephen E. Lamm, The Next Frontier: Interactive and Closed Loop Performance Steering, Proc.	
	3 cont.	1996 Workshop on Challenges for Parallel Processing, Bloomingdale, Illinois, August 1999, pp.20-31	
	4	Brown, Keith, Building a Lightweight COM Interception Framework, Part I: The Universal Delegator, Microsoft Systems Journal, vol. 14, pp. 17-29, January 1999	
	5	Brown, Keith, Building a Lightweight COM Interception Framework, Part II: The Guts of The UD, Microsoft Systems Journal, vol. 14, pp. 49-59, February 1999	
	6	Fisher, Ronald A., Statistical Methods for Research Workers, originally published in London by Oliver and Boyd, online at: http://air.ac.kr/reference/COM/interecpt.htm	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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